PTO/SB/21 (09-04) (AW 10/2004)

Approved for use through 7/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 4+5 References

Application Number	10/814,712	
Filing Date	March 31, 2004	
First Named Inventor	Masao Nakano et al.	
Art Unit	3746	
Examiner Name	To Be Assigned	
Attorney Docket No.	AOY-3975US	

	ENCLOSURES (Check all that apply))	
Fee Transmittal Form Fee Attached	Drawing(s) Licensing-related Papers		After Allowance Communication to TC
(Supplemental)	Amendment/Reply After Final Affidavits/Declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement (Supplemental) Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation, Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD		Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Form SB/08(a) erences in Postcard
Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53			
	NATURE OF APPLICANT ATTORNEY OR AG	ENT	
Firm Name RatnerPrestia Signature Printed Name Jack J. Jankovitz (Date November 29, 2006	Registration No.	42,6	90
	CERTIFICATE OF TRANSMISSION MAILING	·	
	ng facsimile transmitted to the USPTO or deposited with the Uressed to: Commissioner for Patents, P.O. Box 1450, Alexandria		
Signature Pul	ord Spratt	,	
Typed or Printed Name Deborah Spr	att	Date	November 29, 2006
		4	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

10/814,712

Applicants:

Masao NAKANO, et al.

Filed:

March 31, 2004

Title:

REFRIGERANT PUMP AND COOLING DEVICE EMPLOYING SAME

TC/A.U.:

3746

Examiner:

To Be Assigned

Confirmation No.: 8768

Docket No.:

AOY-3975US

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a submitted herewith. A copy of the references listed on the PTO/SB/08a is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

The following references are not in the English language:

- 1) CN 1409007 A
- 2) CN 1109953 A
- 3) JP 07-047957

AOY-3975US PATENT

Reference 1) includes an English language abstract, Reference 3) includes an English language abstract from JP 2283887, and Reference 2) has a counterpart English language application attached.

This Supplemental Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

ack J. Jankovita Rep. No. 42,690

JJJ/ds

Enclosures: PTO/SB/08a

(5) References Transmittal Form

Dated: November 29, 2006

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

November 29, 2006

Deborah Spratt Deboral Spratt

ds/88909

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PTO/SB/08a (07-05) (TMB 07/2005) Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 1

	Complete if Known	
Application Number	10/814,712	
Filing Date	March 31, 2004	
First Named Inventor	Masao Nakano et al.	
Art Unit	3746	
Examiner Name	To Be Assigned	
Attorney Docket No.	A0Y-3975US	

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	CN 1409007A (w/English Abstract)		04-09-2003	KESIYA DIGITAL			

Considered Signature *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. 'Applicant's unique citation designation number (optional).

10-11-1995

02-15-1995

05-24-1995

11-21-1990

CN 1109953A (w/counterpart

counterpart JP 2283887)

JP 2283887 (Abstract Only)

EP 0 638 734 A1 (w/counterpart

JP 07-047957 (w/English abstract from

EP 0 638 734 A1)

CN 1109953A)

Examiner

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.